

MATLAB in ambito aziendale, università e policy research

(V edizione)

VENERDI' 8 novembre 2024, ore 8.50-13.00

Un approccio efficiente basato sul machine learning per il rilevamento di micro-espressioni facciali

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SAPIENZA
UNIVERSITÀ DI ROMA

Problem

Automatic detection of the emotional state of a subject

Sentiment analysis

Real weight of communication

verbal: 7%

paraverbal: 38%

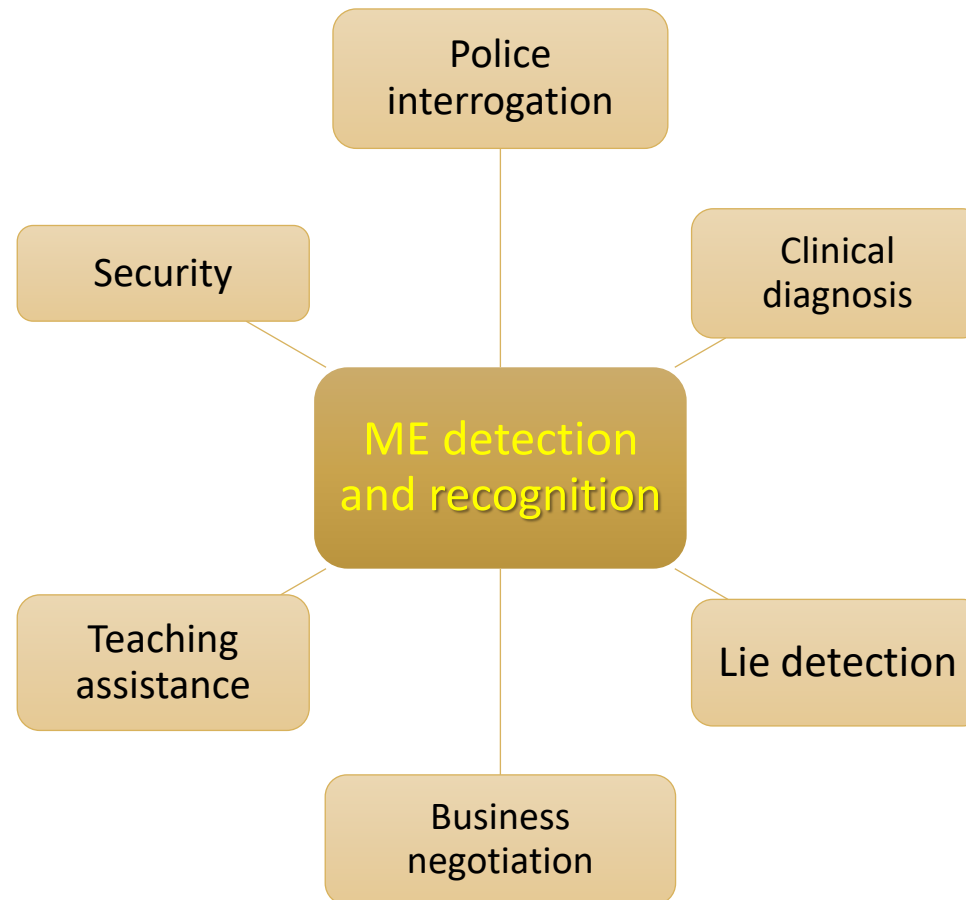
non verbal: 55%

Albert Mehrabian, *Nonverbal Communication*,
Taylor & Francis Inc, 2007 (*from 1972*)



Problem: some applications

**Automatic detection of the emotional state of a subject in
a *video sequence***



Problem: Investment 2020

By the way: **Automatic** 'control' of customers emotional state:

Some interested enterprises: *GE Cisco IBM AutoDesk Qualcomm*

Investment in 2020 about 20 billions of dollars

Other examples: *Apple* acquired *Emotient*

Nielsen acquired *Innerscope*

Unilever

P&G

Mars

Honda

Kellogg

Coca Cola

Facial micro expressions (MEs) play a key role in a *non verbal communication* as they reveal the actual internal emotional state and subject intentions

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ME duration: MEs are characterized by a very short duration ranging from 1/25 to 1/5 of a second (maximum duration: 1/2 second)



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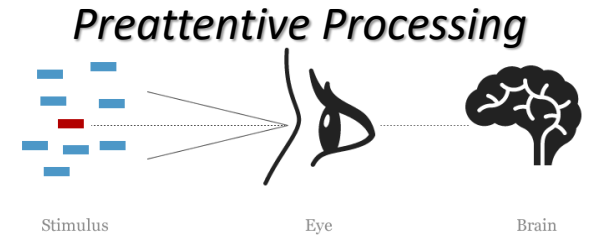


ME pros and cons

spontaneous and very informative: minute muscle movements reflect the true emotions of a person

hard to detect: due to the short duration and low intensity, they are very difficult to perceive and interpret correctly

Problem



from 40 ms to 200 ms

200 ms

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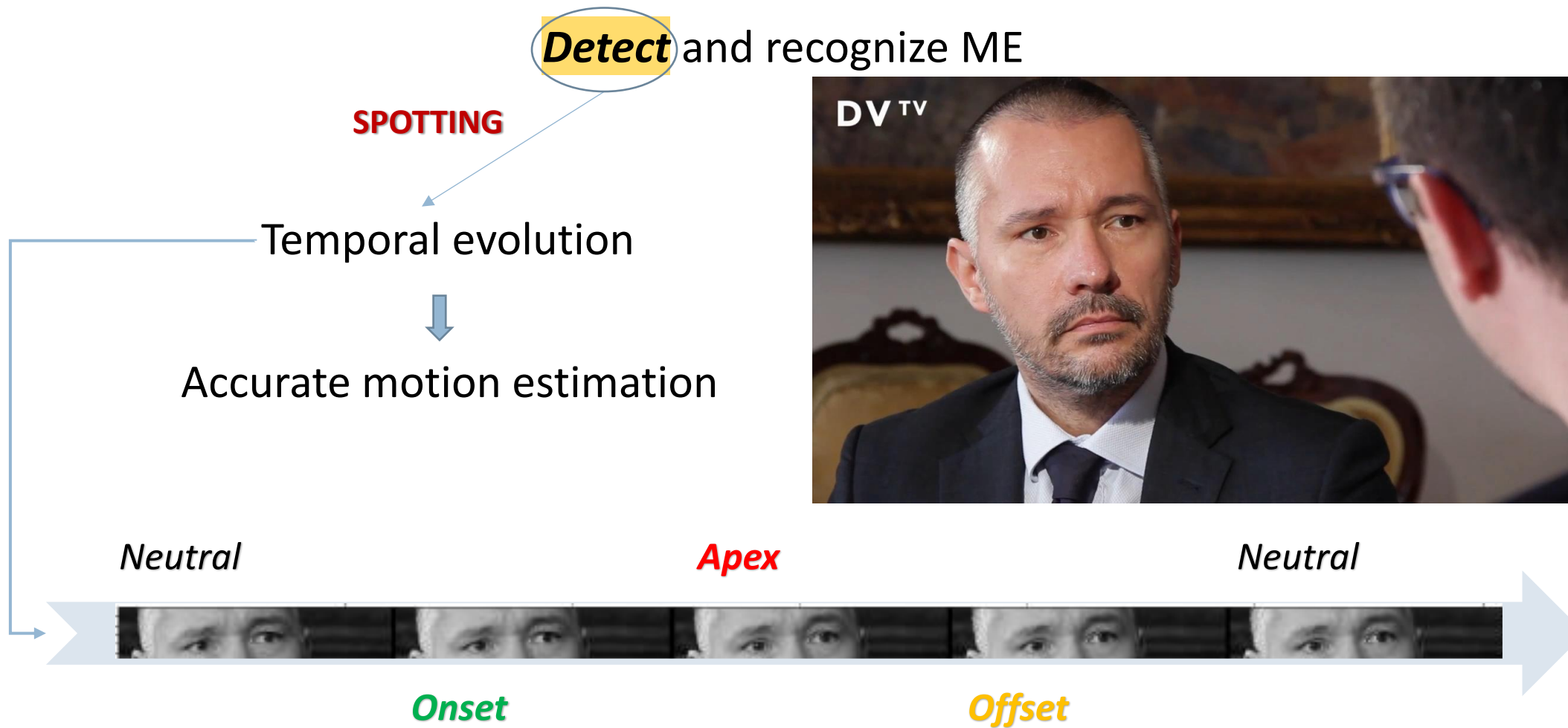
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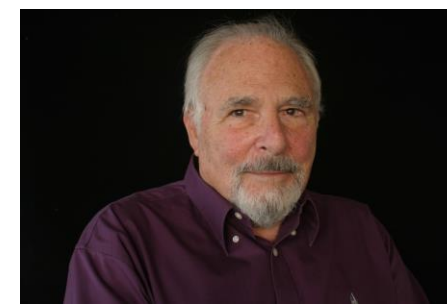
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State of the art





Paul Ekman





















Detect and recognize ME

Partition into Action Units

Face segmentation

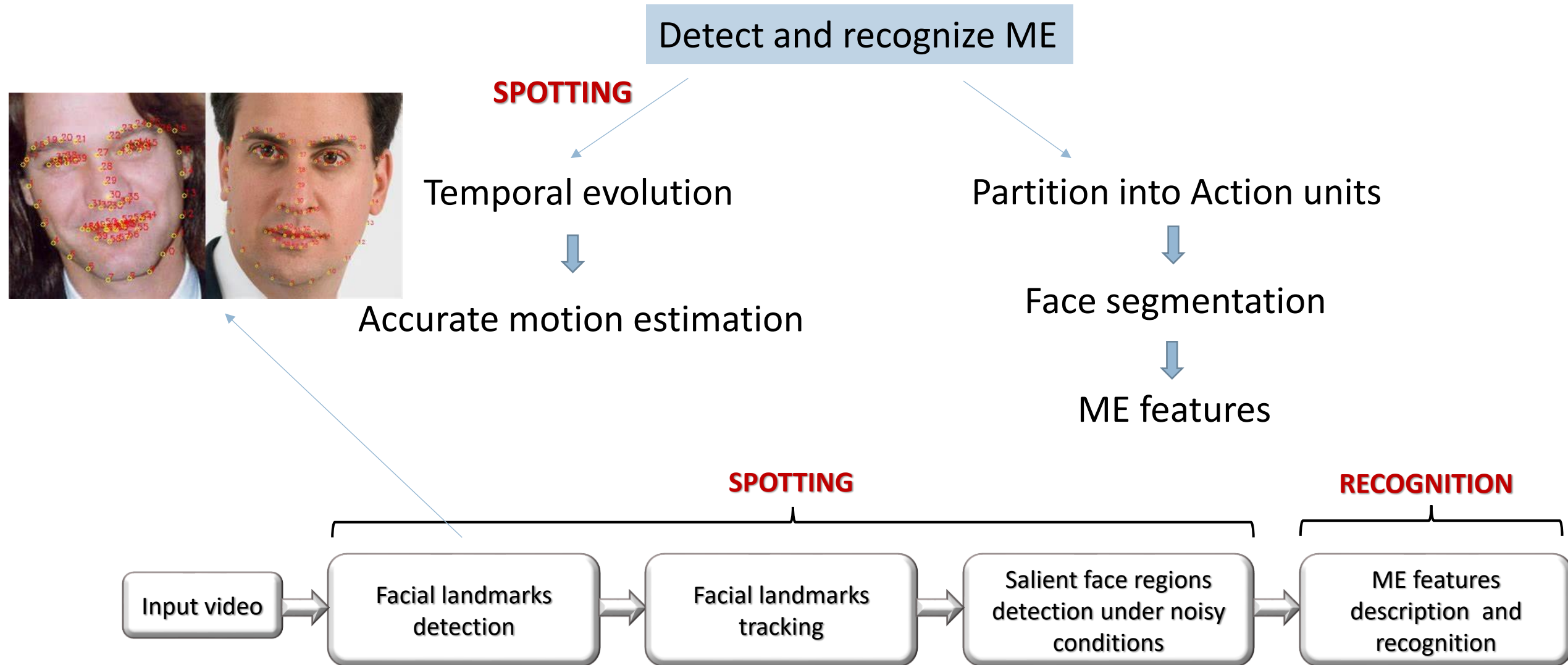
ME features

AU 9	AU 10	AU 11	AU 12	AU 13	AU 14
					
Nose Wrinkler	Upper Lip Raiser	Nasolabial Deepener	Lip Corner Puller	Cheek Puffer	Dimpler
AU 15	AU 16	AU 17	AU 18	AU 20	AU 22
					
Lip Corner Depressor	Lower Lip Depressor	Chin Raiser	Lip Puckerer	Lip Stretcher	Lip Funneler
AU 23	AU 24	*AU 25	*AU 26	*AU 27	AU 28
					
Lip Tightener	Lip Pressor	Lips Part	Jaw Drop	Mouth Stretch	Lip Suck

44 action units: each AU describes facial deformation due to each facial muscle movement

AUs involve contraction or relaxation of facial muscles and miscellaneous actions

State of the art



A possible contribution

Preprocessing for spotting phase



*Fast and effective method for selecting those frames where
ME is present with high probability*

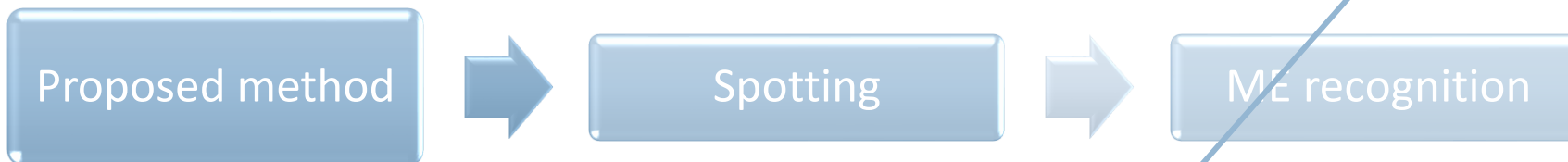


A possible contribution

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ISHealth
bando Cyber 4.0

SICURI
Ambito 3: 'Aerospazio
e Sicurezza'
Por FESR Regione
Lazio

Aim: to make faster the detection process

The proposed model

- MEs as temporal transients → Visual discontinuities
- ME as visual fingerprint → Perceptual Expression Signature (**PES**)

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- ME as visual fingerprint → Perceptual Expression Signature (PES)

First attempt

- ✓ simple IQA metric: **SSIM** (Structural **SIM**ilarity index)
- ✓ global feature: temporal behaviour of the asymmetry of SSIM distribution

The proposed model

- simple IQA metric: SSIM



$$SSIM(I, J) = \underbrace{\frac{2\mu_I\mu_J + C_1}{\mu_I^2 + \mu_J^2 + C_1}}_{\text{luminance adaptation}} \underbrace{\frac{2\sigma_I\sigma_J + C_2}{\sigma_I^2 + \sigma_J^2 + C_2}}_{\text{contrast masking}} \underbrace{\frac{\sigma_{IJ} + C_3}{\sigma_I\sigma_J + C_3}}_{\text{spatial correlation}},$$

$$-1 \leq SSIM(I, J) \leq 1$$

The closer SSIM to 1, the higher the similarity between I and J

Remark: SSIM doesn't satisfy *triangle inequality* or *non-negativity*: **not a distance**.

Under certain conditions, SSIM can be a normalized root MSE measure (distance), the square of such a function is not convex, but is *locally convex* and *quasiconvex*.

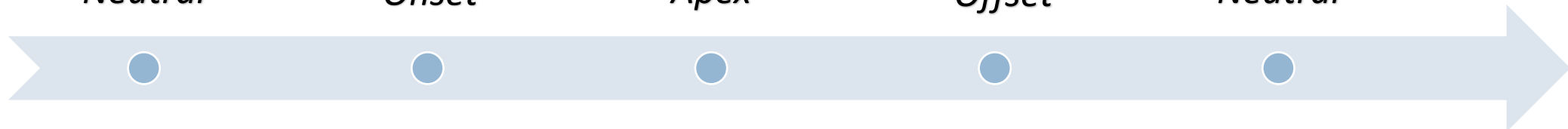
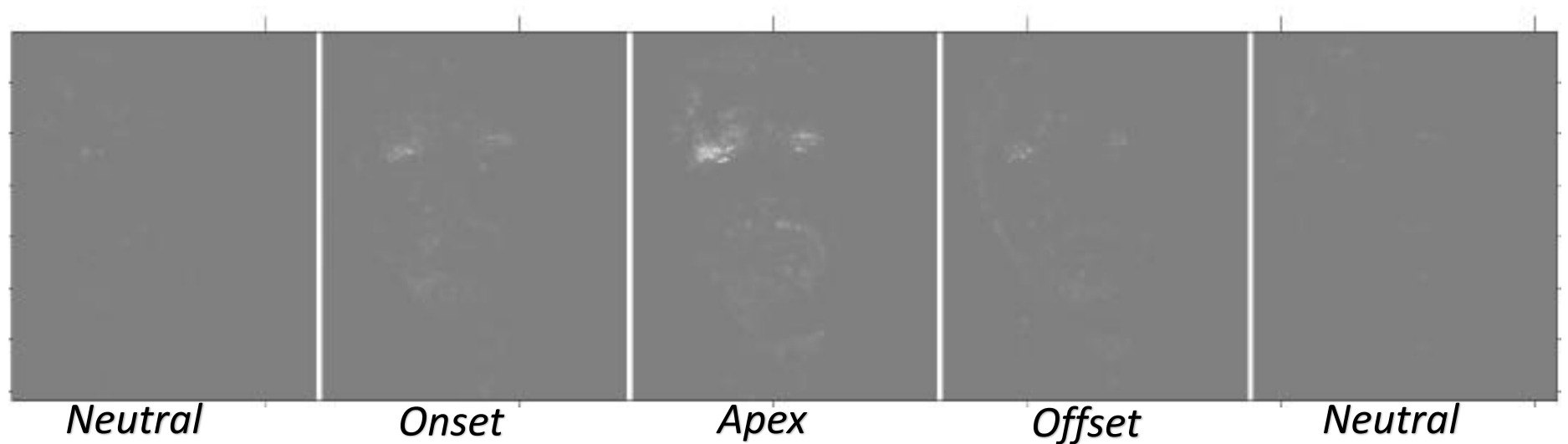
The proposed model



- simple IQA metric: SSIM

the larger S the higher the **visual dissimilarity** between *two consecutive frames*

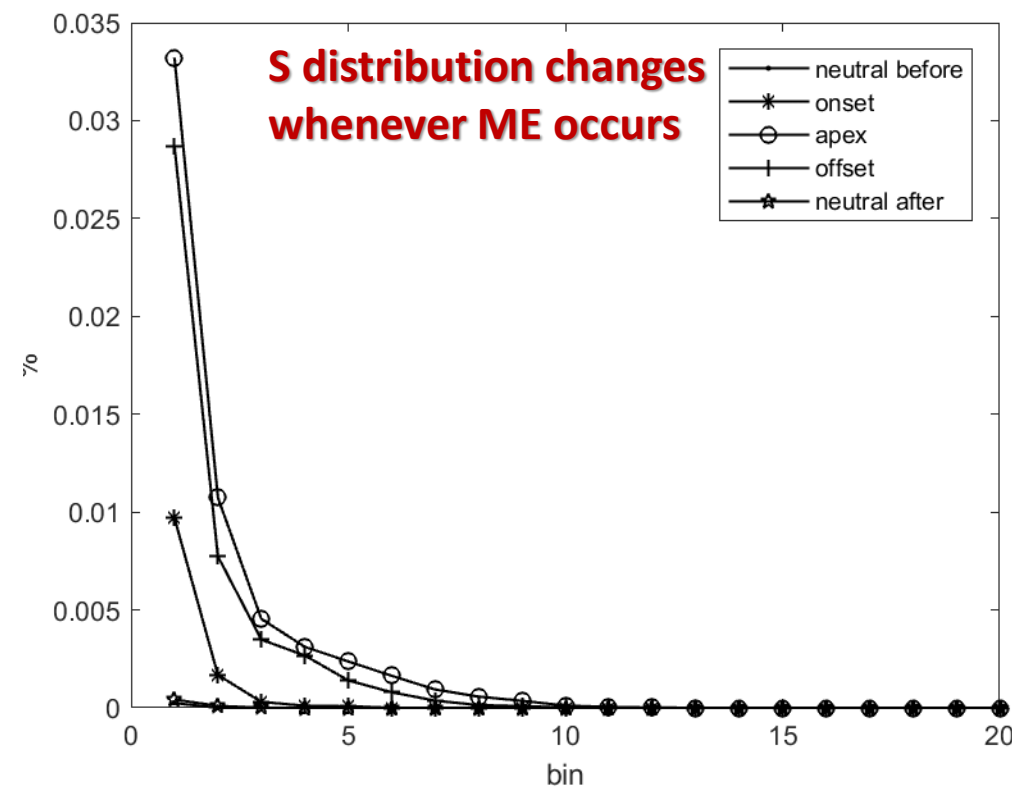
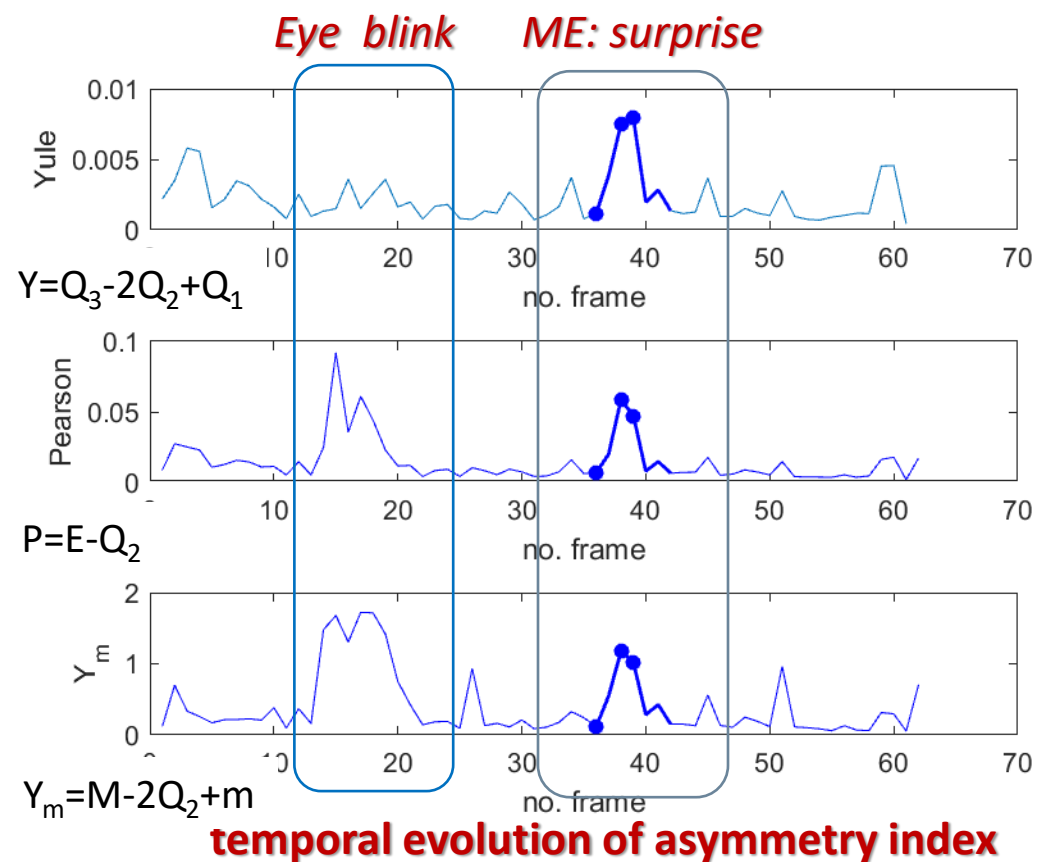
$$S(I, J) = 1 - SSIM(I, J) = 1 - \frac{2\mu_I\mu_J + C_1}{\mu_I^2 + \mu_J^2 + C_1} \frac{2\sigma_{IJ} + C_2}{\sigma_I^2 + \sigma_J^2 + C_2}$$



The proposed model



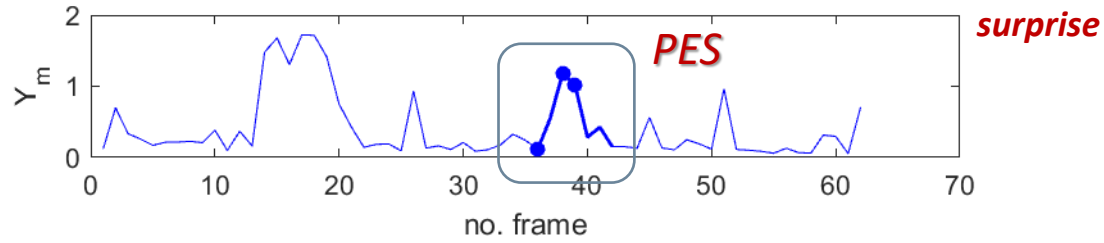
- Global feature: **asymmetry** of **S=1-SSIM** distribution in a global ROI (the face)



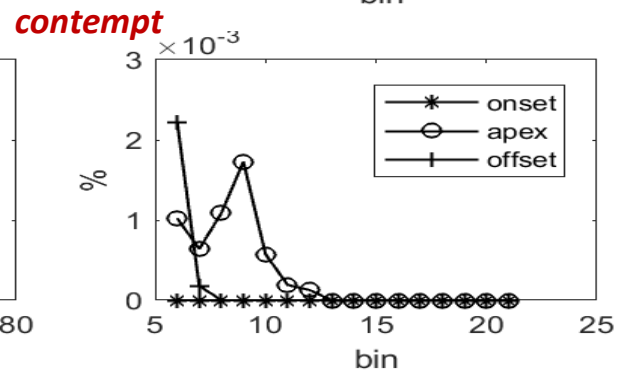
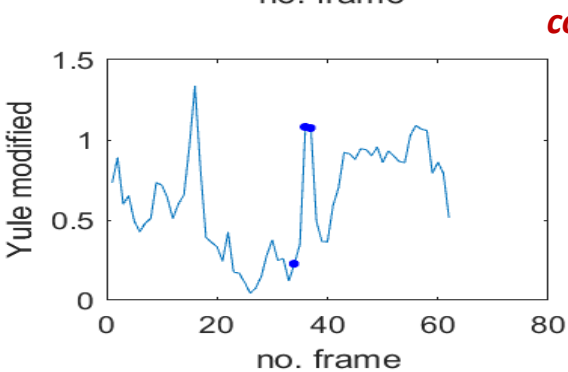
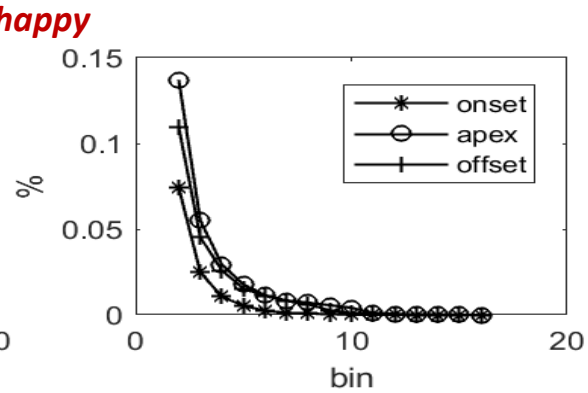
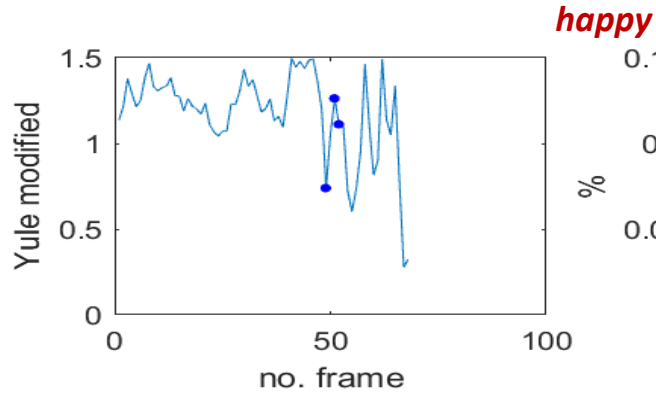
The proposed model

- PES: **1-SSIM** distribution asymmetry temporal profile *onset* → *apex*

$$Y = M - 2Q_2 + m$$



✓ *onset* and *apex* are common to all MEs



Machine Learning: Support Vector Machine

SVM solves the binary classification problem as a *supervised learning task*

Starting from a *training set*:

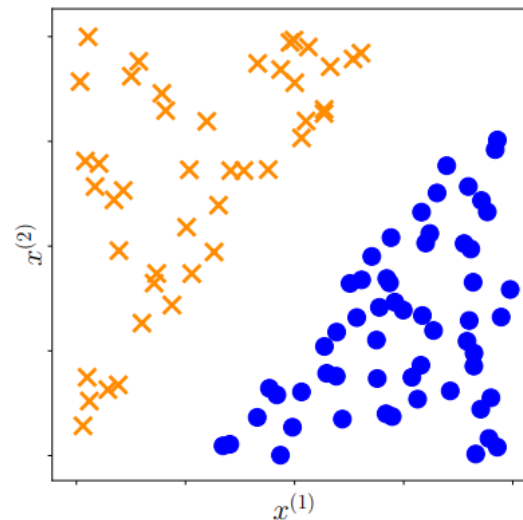
Gender ID	Degree	Latitude (in degrees)	Longitude (in degrees)	Age	Annual Salary (in thousands)
-1	2	51.5073	0.1290	36	89.563
-1	3	51.5074	0.1275	47	123.543
+1	1	51.5071	0.1278	26	23.989
-1	1	51.5075	0.1281	68	138.769
+1	2	51.5074	0.1278	33	113.888

where there is a set of examples $\mathbf{x}_n \in R^D$ with their corresponding (binary) labels $y_n \in \{+1, -1\}$

The parameters of the model, giving *the smallest classification error*, must be estimated

Why SVM: it allows for a *simple geometric way* to think about supervised machine learning

Example on 2D data:



Machine Learning: Support Vector Machine

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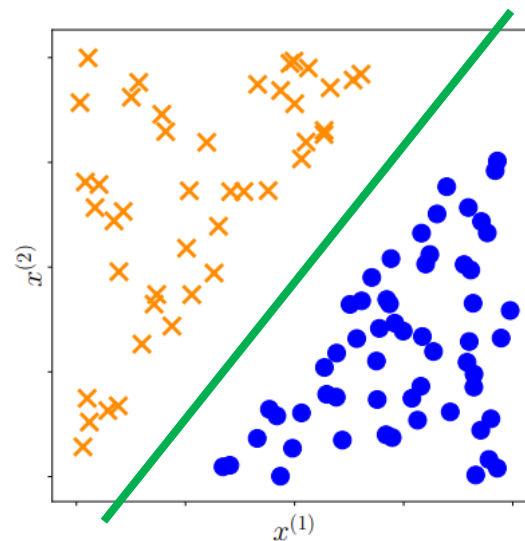
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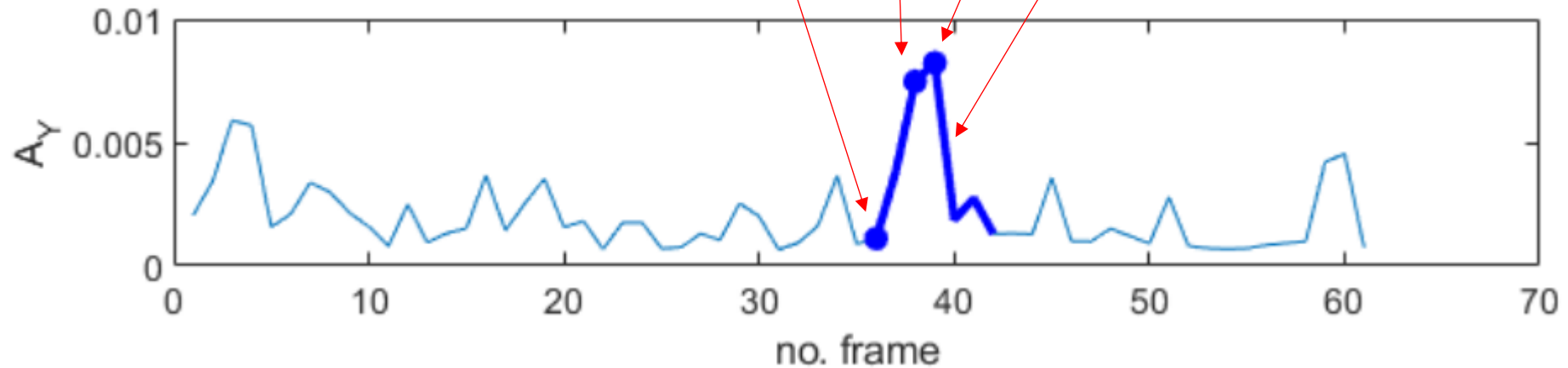


Machine Learning: Support Vector Machine

Perceptual Features:

$$V = [A(t_1) \ A(t_2) \ A(t_3) \ v_4],$$

Onset *Apex* *Offset* *Derivative*



where: $v_4 \in \{-1, +1\}$

Data Augmentation

Problem: lack of data (necessary for SVM)

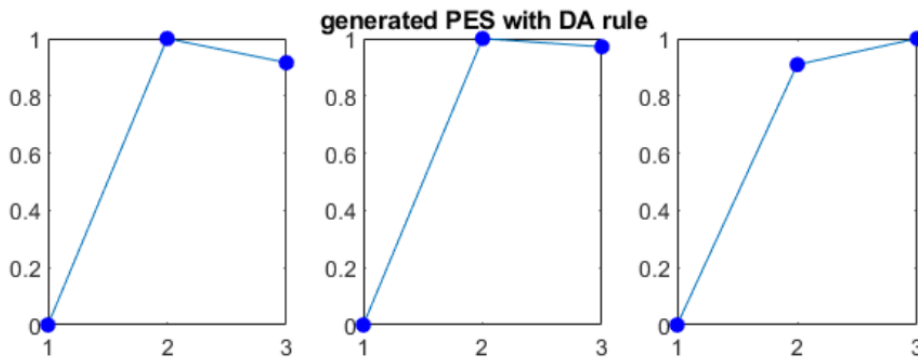
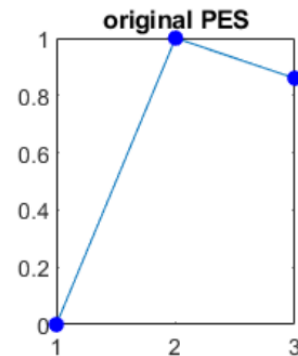
Solution: Data Augmentation (adding a subtle visual noise)



$$x_k = f(x_{k-1}) + \varepsilon \quad \text{where:} \quad 0 \leq \frac{\sigma_\varepsilon^2}{\sigma_{f(x_{k-1})}^2} \leq \rho;$$

PES + just noticeable visibility threshold

Example:



Data Augmentation

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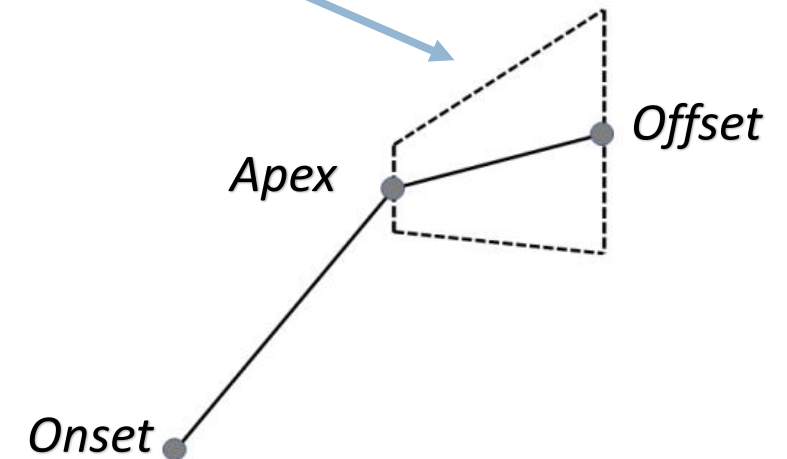
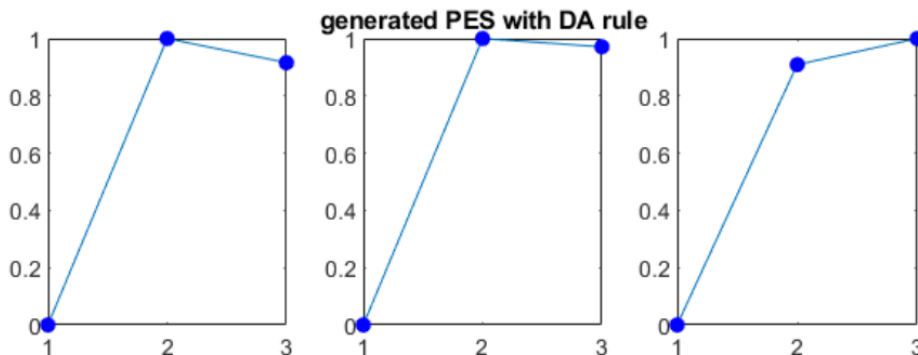
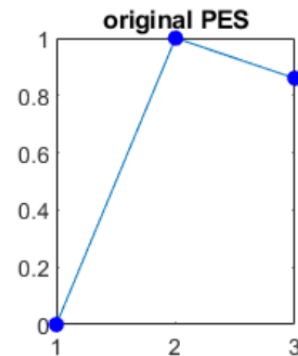
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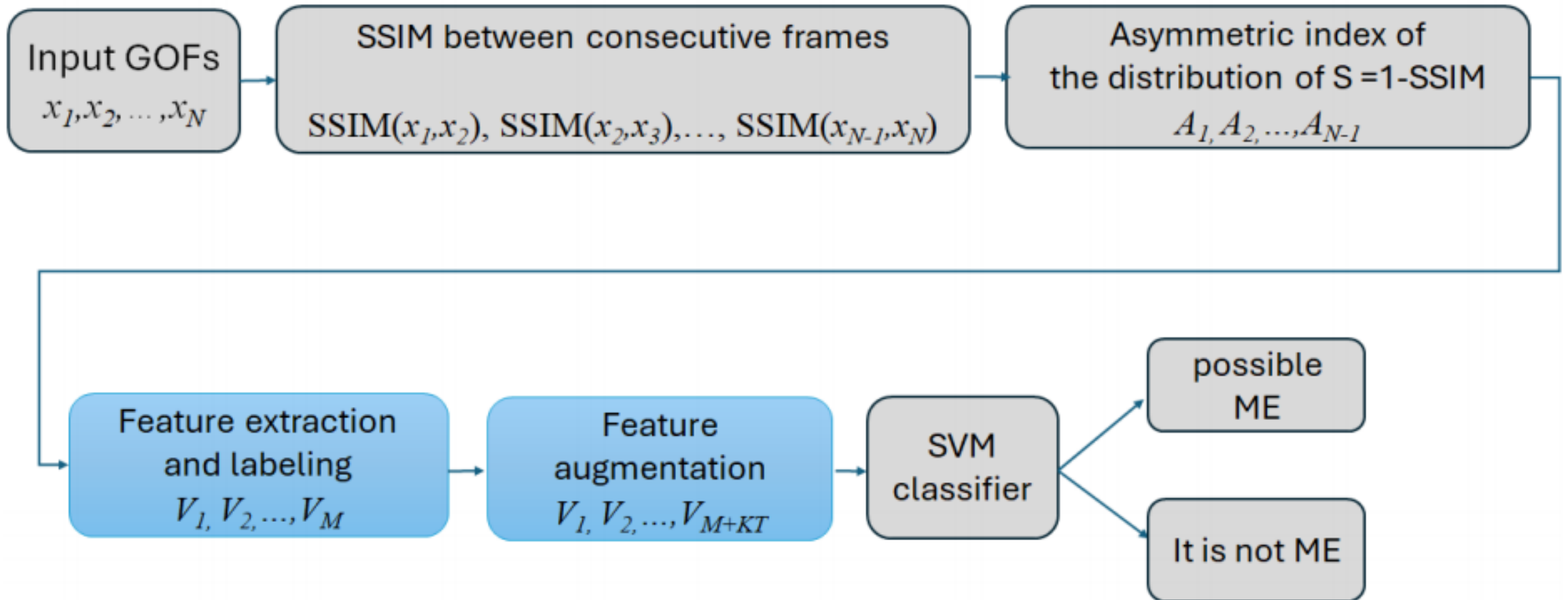
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Example:



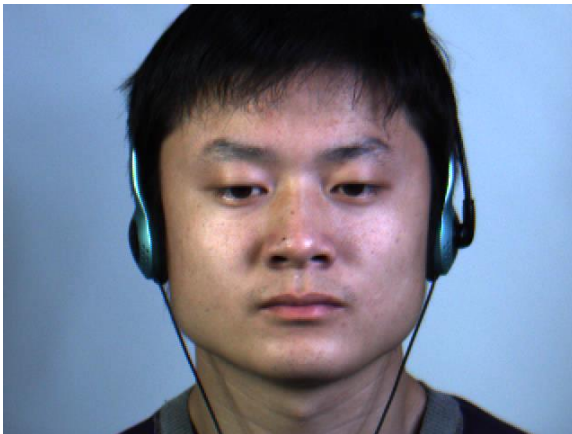
**Perceptual
Emotional
Signature of
Micro-expressions via
Ssim and
Svm**

PESMESS



Does PESMESS work?

Adopted Databases: **MEVIEW:** “in-the-wild” situations, with realistic poker game videos, 31 videos, 3s
CASME II: (247 videos, 200 fps, MEs (less than .2 secs))



Does PESMESS work?

Note: few false alarms , 102 elements (positive and negative training examples),
 $\rho = 0.33\%$

Sequence: 15.1				
<i>PES no. 1</i>	<i>Location</i>	3	<i>Description</i>	looks up
<i>PES no. 2</i>	<i>Location</i>	23	<i>Description</i>	open eyes
<i>PES no. 3</i>	<i>Location</i>	38	<i>Description</i>	ME (surprise)
Sequence: 14.3				
<i>PES no. 1</i>	<i>Location</i>	5	<i>Description</i>	imperceptible smile
<i>PES no. 2</i>	<i>Location</i>	19	<i>Description</i>	ME (joy)
Sequence: 1.1				
<i>PES no. 1</i>	<i>Location</i>	12	<i>Description</i>	zoom/stabilization
<i>PES no. 2</i>	<i>Location</i>	35	<i>Description</i>	zoom/stabilization
<i>PES no. 3</i>	<i>Location</i>	48	<i>Description</i>	ME begin
<i>PES no. 4</i>	<i>Location</i>	54	<i>Description</i>	ME reinforcement
<i>PES no. 5</i>	<i>Location</i>	64	<i>Description</i>	ME end
<i>PES no. 6</i>	<i>Location</i>	73	<i>Description</i>	mouth micro movement
Sequence: 2.1				
<i>PES no. 1</i>	<i>Location</i>	29	<i>Description</i>	look down
<i>PES no. 2</i>	<i>Location</i>	81	<i>Description</i>	frozen eyes
<i>PES no. 3</i>	<i>Location</i>	99	<i>Description</i>	ME
Sequence: 3.1				
<i>PES no. 1</i>	<i>Location</i>	27	<i>Description</i>	looks up
<i>PES no. 2</i>	<i>Location</i>	37	<i>Description</i>	frozen eyes
<i>PES no. 3</i>	<i>Location</i>	70	<i>Description</i>	mouth movement
<i>PES no. 4</i>	<i>Location</i>	78	<i>Description</i>	ME
Sequence: 6.1				
<i>PES no. 1</i>	<i>Location</i>	3	<i>Description</i>	keep looking down
<i>PES no. 2</i>	<i>Location</i>	15	<i>Description</i>	ME
<i>PES no. 3</i>	<i>Location</i>	34	<i>Description</i>	eyes movement
<i>PES no. 4</i>	<i>Location</i>	47	<i>Description</i>	eyes and eyebrows

Sequence: 7.9				
<i>PES no. 1</i>	<i>Location</i>	13	<i>Description</i>	mouth movement
<i>PES no. 2</i>	<i>Location</i>	27	<i>Description</i>	tongue movement
<i>PES no. 3</i>	<i>Location</i>	31	<i>Description</i>	tongue movement
<i>PES no. 4</i>	<i>Location</i>	46	<i>Description</i>	head movement
<i>PES no. 5</i>	<i>Location</i>	50	<i>Description</i>	head (FA)
<i>PES no. 6</i>	<i>Location</i>	60	<i>Description</i>	eyes movement
<i>PES no. 7</i>	<i>Location</i>	65	<i>Description</i>	tongue movement
<i>PES no. 7</i>	<i>Location</i>	65	<i>Description</i>	ME
Sequence: 8.1				
<i>PES no. 1</i>	<i>Location</i>	13	<i>Description</i>	ME
<i>PES no. 2</i>	<i>Location</i>	22	<i>Description</i>	mouth (ME end)
<i>PES no. 3</i>	<i>Location</i>	32	<i>Description</i>	head movement
<i>PES no. 4</i>	<i>Location</i>	42	<i>Description</i>	eyes movement
<i>PES no. 4</i>	<i>Location</i>	46	<i>Description</i>	head movement
<i>PES no. 5</i>	<i>Location</i>	49	<i>Description</i>	head movement
<i>PES no. 6</i>	<i>Location</i>	56	<i>Description</i>	head movement
Sequence: 8.2				
<i>PES no. 1</i>	<i>Location</i>	19	<i>Description</i>	ME
<i>PES no. 2</i>	<i>Location</i>	37	<i>Description</i>	head movement
<i>PES no. 3</i>	<i>Location</i>	43	<i>Description</i>	head and eyes movement

The role of MATLAB in PESMESS

SSIM

SVM

ObjectDetector

Web Service

The role of MATLAB in PESMESS: SSIM

$$SSIM(I, J) = \underbrace{\frac{2\mu_I\mu_J + C_1}{\mu_I^2 + \mu_J^2 + C_1}}_{\text{luminance adaptation}} \underbrace{\frac{2\sigma_I\sigma_J + C_2}{\sigma_I^2 + \sigma_J^2 + C_2}}_{\text{contrast masking}} \underbrace{\frac{\sigma_{IJ} + C_3}{\sigma_I\sigma_J + C_3}}_{\text{spatial correlation}},$$

The role of MATLAB in PESMESS: *SSIM*

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[Ssimval, Sssimmap] = *ssim*(A, ref)

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0.9930

$[Ssimval, Sssimmap] = \text{ssim}(A, \text{ref})$



38



39

The role of MATLAB in PESMESS: SSIM

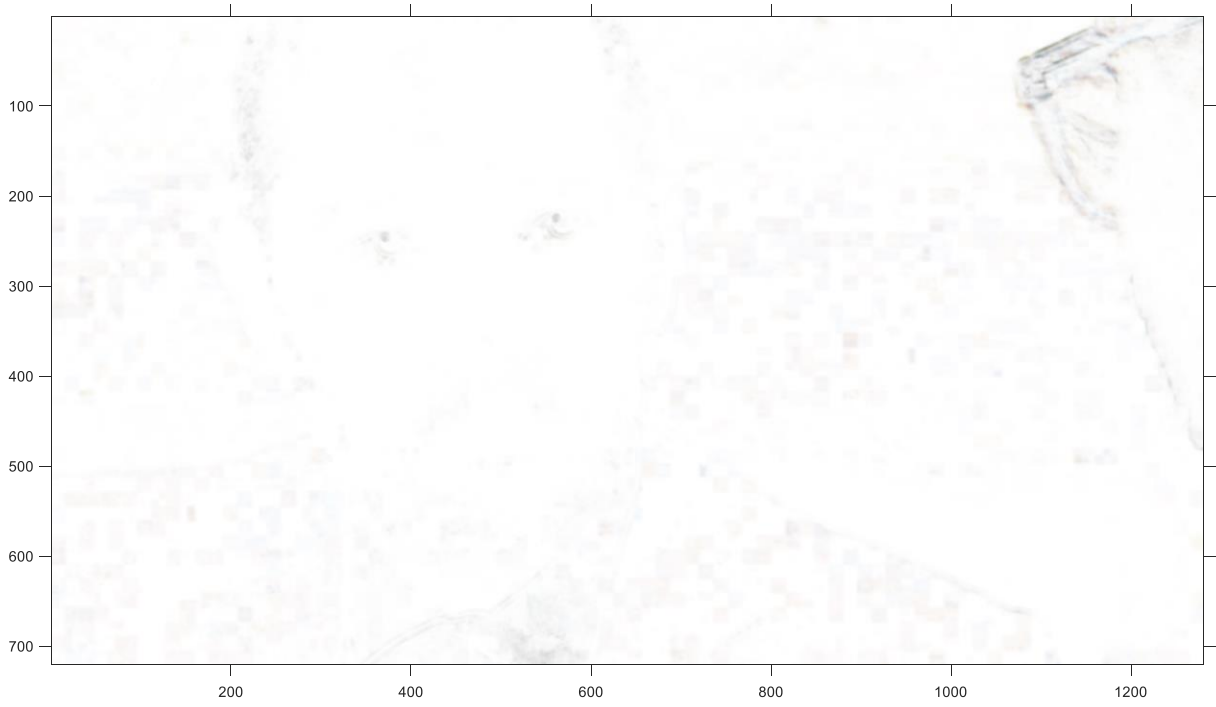
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The role of MATLAB in PESMESS: SSIM

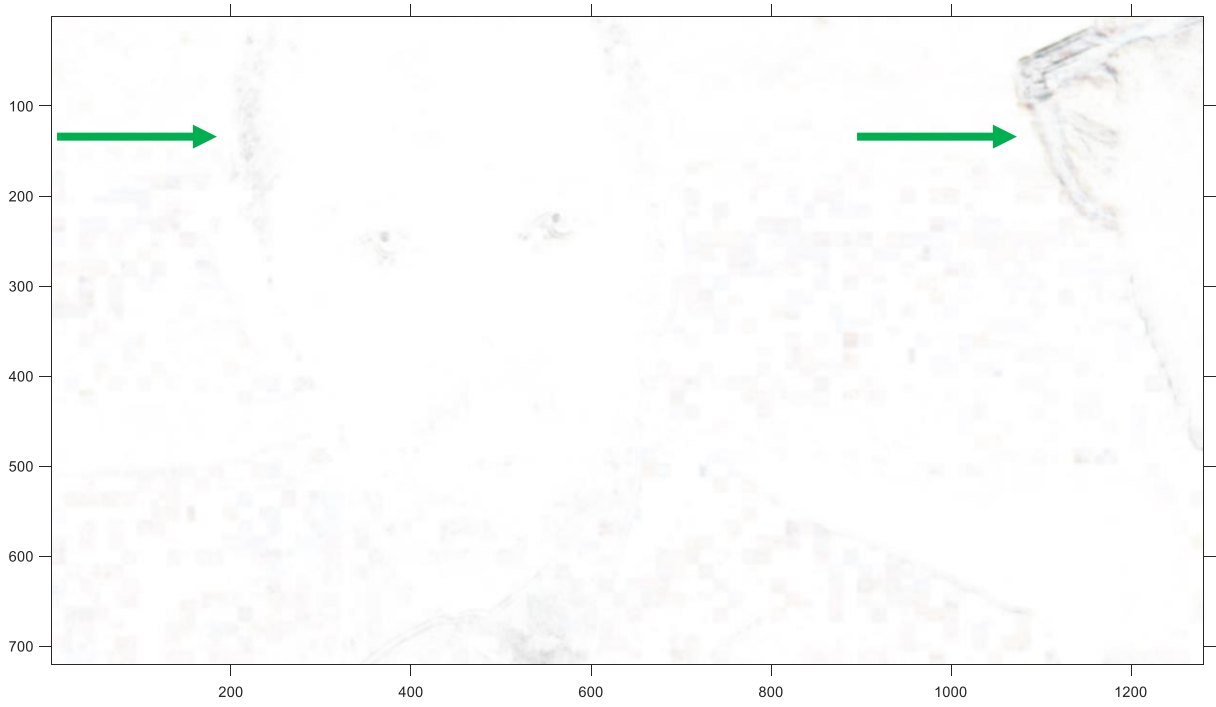
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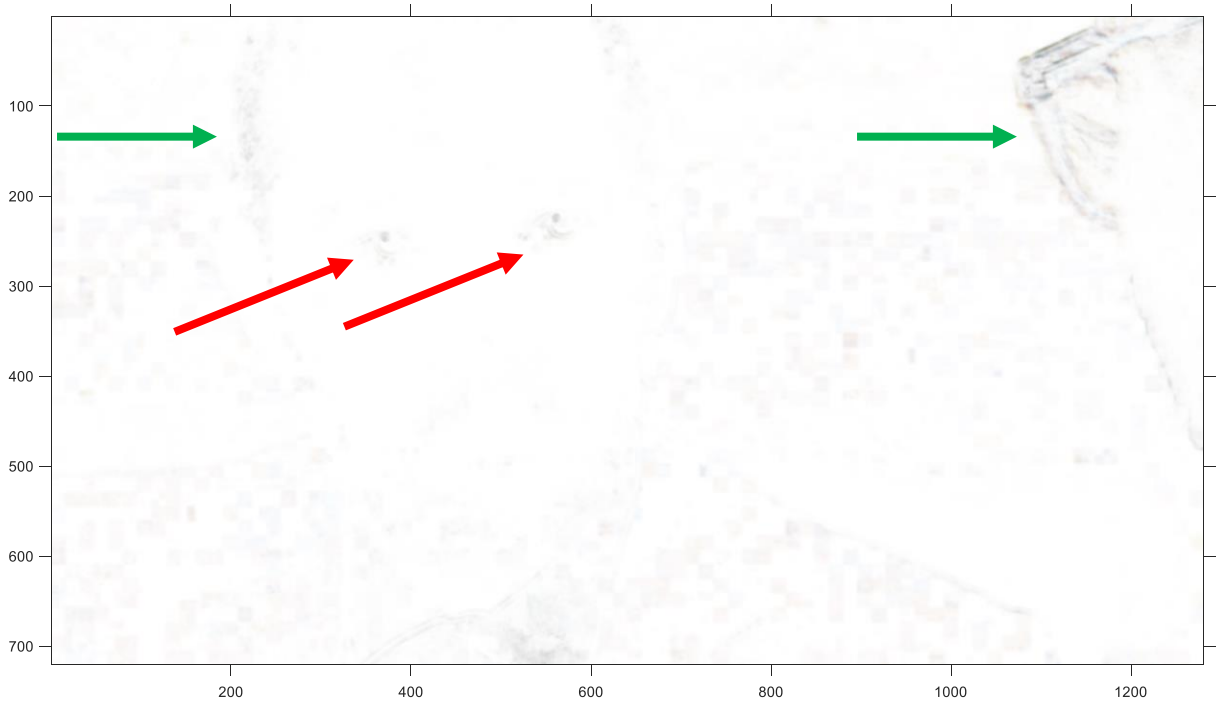
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38



39

The role of MATLAB in PESMESS: SVM

Let's generate synthetic data (training set, noisy data around 2 centers of mass with coordinates **(100,50)** and **(98,48)**):

% first class

X11 = 100 + rand(1,100); %first coord. 1st class

X12 = 50 + rand(1,100); %second coord. 1st class

X1 = [X11' X12'];

% second class

X21 = 98 + rand(1,100); %first coord. 2nd class

X22 = 48 + rand(1,100); %second coord. 2nd class

X2 = [X21' X22'];

X = [X1 ; X2]

X =

100.0986 50.7519

100.1420 50.2287

100.1683 50.0642

100.1962 50.7673

100.3175 50.6712

.

.

98.4116 48.2815

98.6026 48.2304

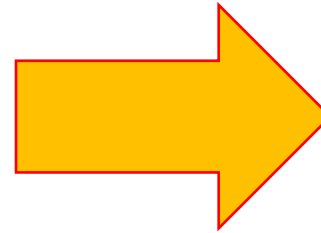
98.7505 48.7111

98.5835 48.6246

98.5518 48.5906

.

.



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% first class

X11 = 100 + rand(1,100); %first coord. 1st class

X12 = 50 + rand(1,100); %second coord. 1st class

X1 = [X11' X12'];

% second class

X21 = 98 + rand(1,100); %first coord. 2nd class

X22 = 48 + rand(1,100); %second coord. 2nd class

X2 = [X21' X22'];

X = [X1 ; X2]

Y = cell(200,1);

for i=1:100, Y{i} = 'c', end

for i=101:200, Y{i} = 'g', end

X =

100.0986 50.7519

100.1420 50.2287

100.1683 50.0642

100.1962 50.7673

100.3175 50.6712

.

.

98.4116 48.2815

98.6026 48.2304

98.7505 48.7111

98.5835 48.6246

98.5518 48.5906

.

Y =

200×1 cell array

{'c'}

{'c'}

{'c'}

.

.

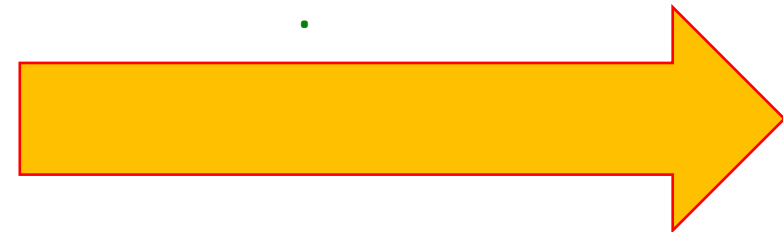
{'g'}

{'g'}

{'g'}

.

.



The role of MATLAB in PESMESS: SVM

Let's generate synthetic data (training set, noisy data around 2 centers of mass with coordinates **(100,50)** and **(98,48)**):

% first class

X11 = 100 + rand(1,100); %first coord. 1st class

X12 = 50 + rand(1,100); %second coord. 1st class

X1 = [X11' X12'];

% second class

X21 = 98 + rand(1,100); %first coord. 2nd class

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98.6026 48.2304

98.7505 48.7111

98.5835 48.6246

98.5518 48.5906

.

.

Y =

200×1 cell array

{'c'}

{'c'}

{'c'}

.

.

.

{'g'}

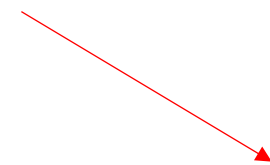
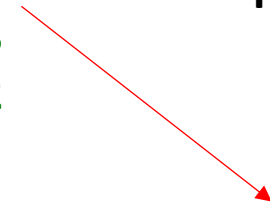
{'g'}

{'g'}

.

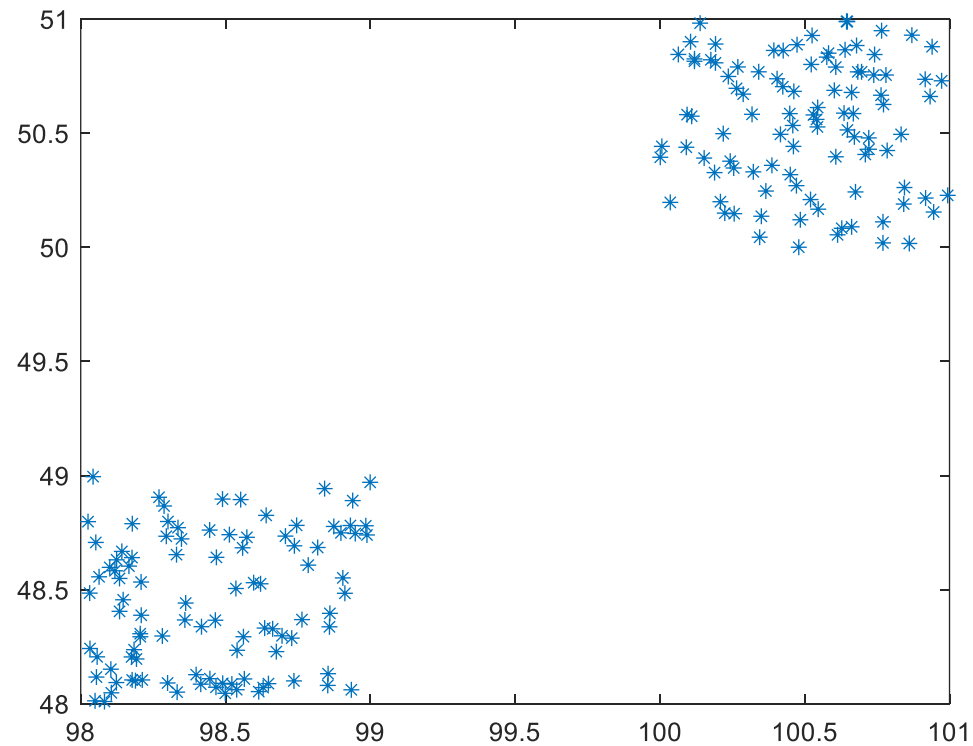
.

.



The role of MATLAB in PESMESS: SVM

Let's generate synthetic data (training set, noisy data around 2 centers of mass with coordinates **(100,50)** and **(98,48)**):



The role of MATLAB in PESMESS: SVM

Learning model:

```
SVMMModel = fitcsvm(X,Y,'KernelFunction','rbf',... % per classificazione binaria  
'Standardize',true,'ClassNames',{'c','g'});
```

SVMMModel =

ClassificationSVM

ResponseName: 'Y'

CategoricalPredictors: []

ClassNames: {'c' 'g'}

ScoreTransform: 'none'

NumObservations: 200

Alpha: [8×1 double]

Bias: -0.0074

KernelParameters: [1×1 struct]

Mu: [99.5048 49.4672]

Sigma: [1.0565 1.0218]

BoxConstraints: [200×1 double]

ConvergenceInfo: [1×1 struct]

IsSupportVector: [200×1 logical]

Solver: 'SMO'

The role of MATLAB in PESMESS: SVM

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BoxConstraints: [200×1 double]

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IsSupportVector: [200×1 logical]

Solver: 'SMO'

The role of MATLAB in PESMESS: SVM

Prediction data (test set) generation :

% P R E D I C T

%new samples

Xn11 = 100 + rand(1,10); %first coord. 1st class

Xn12 = 50 + rand(1,10); %first coord. 1st class

Xn1 = [Xn11' Xn12'];

%second class

Xn21 = 98 + rand(1,10); %first coord. 2nd class

Xn22 = 48 + rand(1,10); %first coord. 2nd class

Xn2 = [Xn21' Xn22'];

%all in one

Xn = [Xn1 ; Xn2];

The role of MATLAB in PESMESS: SVM

Prediction phase:

```
d = 0.02;
[x1Grid,x2Grid] = meshgrid(min(Xn(:,1)):d:max(Xn(:,1)),min(Xn(:,2)):d:max(Xn(:,2))));
xGrid = [x1Grid,x2Grid(:)];
[~,scores] = predict(SVMModel,xGrid);
%[label,score] = predict(SVMModel,Xn); % altro predict sui dati test
```

label =

Prediction phase:

*20x1 cell
array*

score =

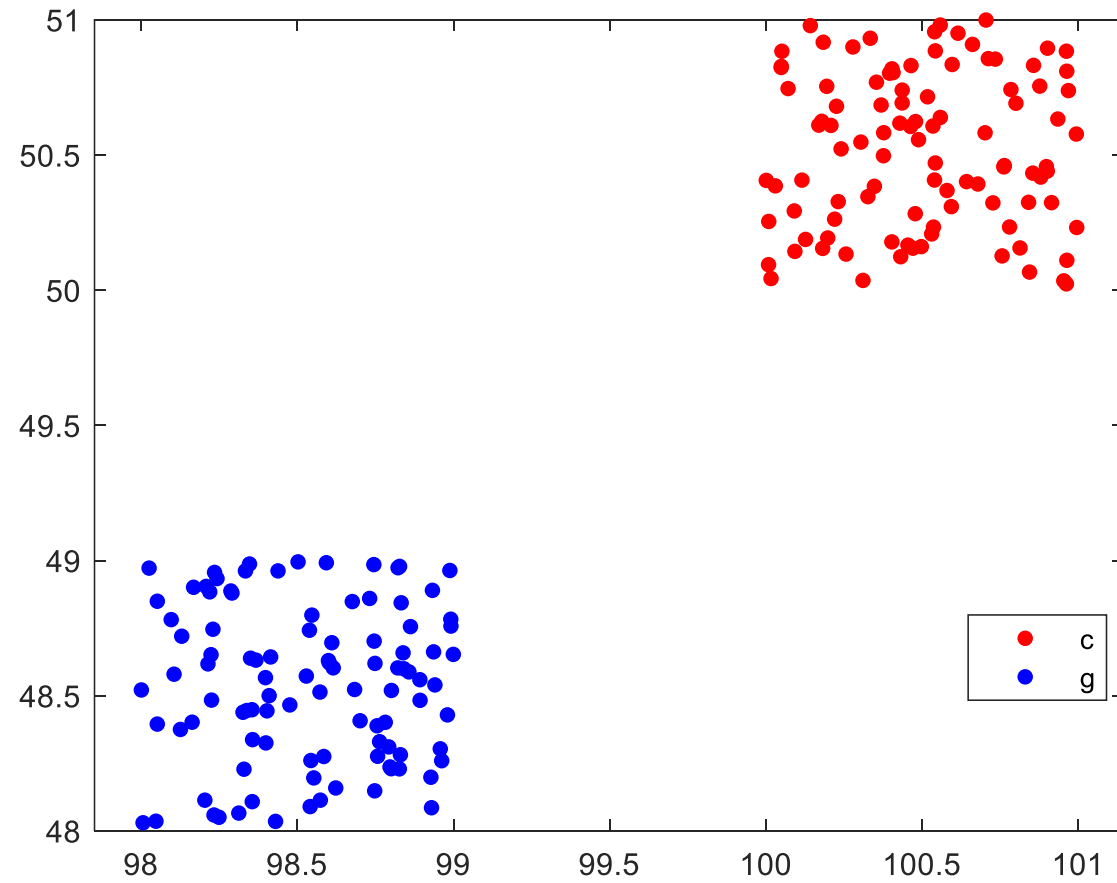
% likelihood that a label comes from a particular class

<i>{'c'}</i>	<i>1.1398</i>	<i>-1.1398</i>
<i>{'c'}</i>	<i>1.1737</i>	<i>-1.1737</i>
<i>{'c'}</i>	<i>1.0761</i>	<i>-1.0761</i>
<i>{'c'}</i>	<i>1.0906</i>	<i>-1.0906</i>
<i>{'c'}</i>	<i>1.0687</i>	<i>-1.0687</i>
<i>.</i>	<i>.</i>	<i>.</i>
<i>.</i>	<i>.</i>	<i>.</i>

The role of MATLAB in PESMESS: SVM

Prediction phase:

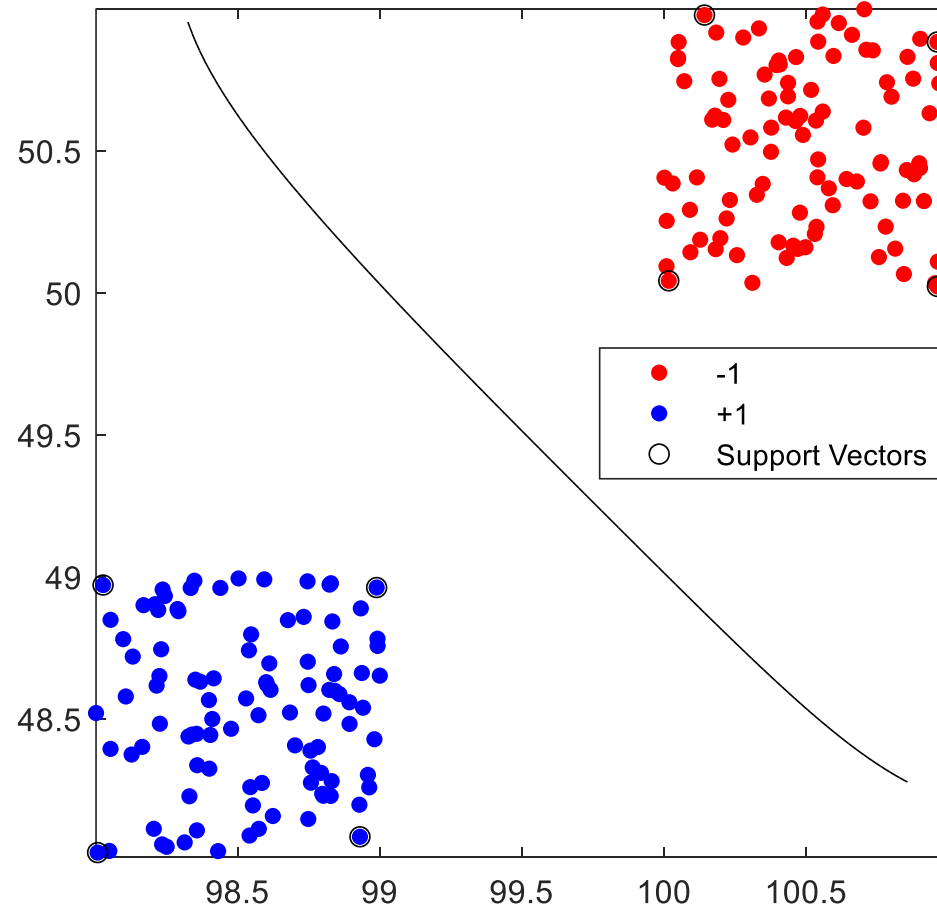
Initial data



The role of MATLAB in PESMESS: SVM

Prediction phase:

with hyperplane and support vectors



The role of MATLAB in PESMESS: vision.CascadeObjectDetector

Problem: too many faces

Solution: The **cascade object detector** uses the **Viola-Jones algorithm (2021)** to detect **people's faces**, noses, eyes, mouth, or upper body



The role of MATLAB in PESMESS: vision.CascadeObjectDetector

The **cascade object detector** uses the **Viola-Jones algorithm** to detect **people's faces**, noses, eyes, mouth, or upper body



▼ Detect Faces in an Image Using the Frontal Face Classification Model

Create a face detector object.

```
faceDetector = vision.CascadeObjectDetector;
```

Read the input image.

```
I = imread('visionteam.jpg');
```

Detect faces.

```
bboxes = faceDetector(I);
```

Annotate detected faces.

```
IFaces = insertObjectAnnotation(I, 'rectangle', bboxes, 'Face');  
figure  
imshow(IFaces)  
title('Detected faces');
```

The role of MATLAB in PESMESS: vision.CascadeObjectDetector

The **cascade object detector** uses the **Viola-Jones algorithm** to detect **people's faces**, noses, eyes, mouth, or upper body



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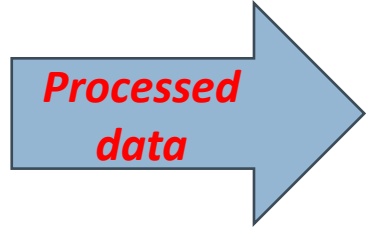
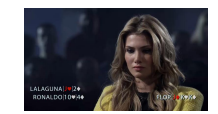
Detect faces.

```
bboxes = faceDetector(I);
```

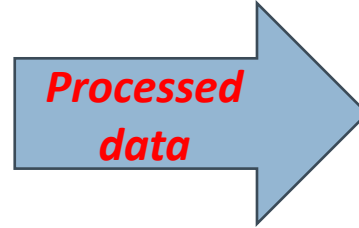
Annotate detected faces.

```
IFaces = insertObjectAnnotation(I, 'rectangle', bboxes, 'Face');  
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```

The role of MATLAB in PESMESS: Web Service

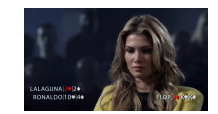


The role of MATLAB in PESMESS: Web Service



*Executable file
(Matlab Compiler)*

The role of MATLAB in PESMESS: Web Service



video



Processed data



warning



Executable file
(Matlab Compiler)

Json format
(Jsonencode, Jsondecode)

The role of MATLAB in PESMESS: Web Service



video



Processed data



warning



Executable file
(Matlab Compiler)

Json format
(Jsonencode, Jsondecode)

http post request
(send in url='https://---.it/x/y/'
via token)

The role of MATLAB in PESMESS: Web Service



video



Processed data



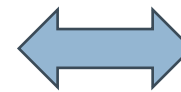
warning



Executable file
(Matlab Compiler)

Json format
(Jsonencode, Jsondecode)

http post request
(send in url='https://---.it/x/y/'
via token)



Web service:
Webread,
Websave,
Webwrite

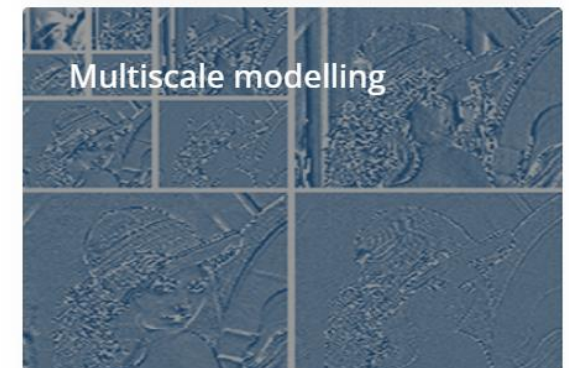
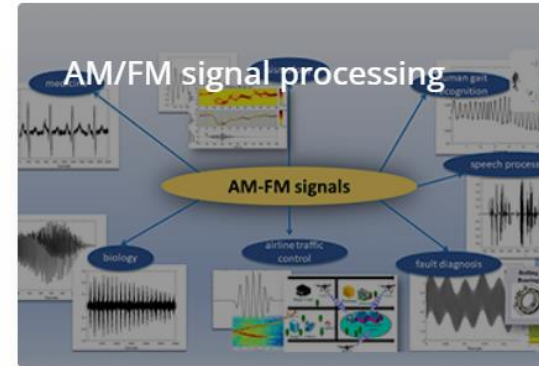
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•
•



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Vittoria Bruni



***THANKS A LOT FOR YOUR
ATTENTION***